Lt Governor’s Office Election Voting System GRAMA

ES&S Contracts

RFP Event Summary

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| **2017-06-27** | **ES&S Utah RFP Event Summary** |
|  | File name: Election Systems & Software\_Redacted |
| No. | Notes |
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ES&S Utah Certification

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| **2019 April** | **ES&S EVS 6.0.4.0** |
|  | File name: ES&S EVS 6.0.4.0 Certification Letter |
| No. | Notes |
|  | Office of Lt Governor “SLI Compliance…accredited by EAC determined that ES&S EVS 6.0.4.0 voting system meets the required acceptance criteria” of EAC VVSG 1.0 and EAC grant certification  “SLI’s testing results and recommendations meet the voting equipment certification requirements outlined in Utah Code Annotated 20A-5-802”  “ES&S EVS 6.0.4.0…certified by the State of Utah”  Signed Spencer Cox Lt Governor |
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|  | File: Ltr Request for Certification\_EVS 6.0.4.0 4.18.19 |
|  | Notes |
|  | “formal request to the State of Utah for certification of EVS 6.0.4.0”  EAC accredited VSTL SLI Compliance completed VVSG conformance test  Table with comparison to version numbers and components already state certified  [clip table]  Included “application and copy of VSTL test report along with disk containing ES&S Technical Data Package” with system overview and system operating manuals |

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| **2019 Sept.** | **ES&S EVS 6.1.0.0** |
|  | File name: ES&S EVS 6.1.0.0 - EAC Certification |
|  | Notes |
|  | EVS 6.1.0.0 by VSTL: Pro V&V; VVSG 1.0; Date Issued: 24 Sep 2019 |
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|  | File Name: ES&S EVS 6.1.0.0 - Testing Report |
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|  | File Name: ES&S EVS 6.1.0.0 - EAC Letter |
|  | Notes |
|  | EAC Grant of certification under EAC Voting System and Certification Manual; ES&S; and Pro V&V  “trusted build has been performed”  “software has been deposited in approved repository”  “system identification tools are available to election officials”  “signed letter stating, under penalty of law”  “EAC reviewed documentation and VSTL conformance testing to 2005 VVSG and Voting System Testing & Certification Program Director has recommended EAC certification of this system”  Signed by EAC Exec Director Brian Newby; cc Stephan Han Pro V&V and Jerome Lovato EAC |
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|  | File Name: ES&S EVS 6.1.0.0 - State Certification Letter |
|  | Notes |
|  | Office of Lt Governor “meets voting equipment certification requirements established in Utah Code Annotated 20A-5-802”  “hereby certified by the State of Utah”  Signed by Lt Governor Spencer Cox |

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| **2019 April** | **ES&S EVS 6.0.4.0** |
|  | File name: ES&S EVS 6.0.4.0 Certification Letter |
| No. | Notes |
|  | Office of Lt Governor “SLI Compliance…accredited by EAC determined that ES&S EVS 6.0.4.0 voting system meets the required acceptance criteria” of EAC VVSG 1.0 and EAC grant certification  “SLI’s testing results and recommendations meet the voting equipment certification requirements outlined in Utah Code Annotated 20A-5-802”  “ES&S EVS 6.0.4.0…certified by the State of Utah”  Signed Spencer Cox Lt Governor |
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|  | File: Ltr Request for Certification\_EVS 6.0.4.0 4.18.19 |
|  | Notes |
|  | “formal request to the State of Utah for certification of EVS 6.0.4.0”  EAC accredited VSTL SLI Compliance completed VVSG conformance test  Table with comparison to version numbers and components already state certified  [clip table]  Included “application and copy of VSTL test report along with disk containing ES&S Technical Data Package” with system overview and system operating manuals |

ES&S Engineer Change of Order Certification

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| 2019-02-18 | File name: Ltr UT SOS re Req for Approval ECO 1000, 1016 2.18.19 |
| Notes | Pro V&V |
| 1000 | “improves ballot bin plastic from corrugated plastic to injection molded ABS” |
| 1016 | ExpressVote Booths “reinforcement and support ribs to the inside and outside of doors” |
|  | De Minimus 02/01/2019 |

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| 2019-02-18 | File name: Ltr UT SOS re Req for Approval ECO 1018 Submission 2.18. |
| Notes | SLI Compliance |
|  | “adds an alternate UPS, the APC Smart-UPS 1500”…”for DS450 Central Tabulator |
|  | De Minimus 02/08/2019 |

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| 2019-12-28 | File name: Ltr UT SOS re Req for Approval ECO 1043 Submission 12.28.19 |
| Notes | VSTL: Pro V&V |
|  | “INNO upgrade to ExpressVote HW 1.0 units from an 8 GB INNO to a 16 GB INNO” |
|  | De Minimus 10/30/2019 |

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| 2020-05-29 | File name: Ltr UT SOS re Req for Approval ECO 1068 Submission 5.29.20 |
| Notes | VSTL: Pro V&V |
|  | “Internal Postgres system logging message to enhance security and performance of database”…”for analytical, internal traceability and allows for further indexing for added performance” |
|  | De Minimus 5/20/2020 |

**ES&S Engineer Change of Order VSTL Analysis Form**

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| 2018-07-02 | ECO 996: Replacing End-of-Life (EOL) component |
|  | File name: ECO 996 Approval |
| Notes | VSTL: Pro V&V; for DS450 Central Scanner |
|  | [clip] |

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| 2018-12-12 | ECO 983: Modified DS200 housing |
|  | File name: ES&S ECO 983 Approved |
| Notes | VSTL: Pro V&V; for DS200  “Texture free areas added to DS200 across exterior housing for security seals” |
|  | [clip] |

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| 2018-12-12 | ECO 1004: EVS (DS450 Component) |
|  | File name: ES&S ECO 1004 Approval |
| Notes | VSTL: Pro V&V; for DS200  “replacing end-of-life component (EOL)”  Dell S210 EOL; Okidata B431D & B431DN laser printers also EOL  “added alternate report printer Okidata B432DN to replace EOL models)” |
|  | [clip] |

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| 2018-12-12 | ECO 1005: EVS (DS850 Component): Replacing End-of-Life (EOL) component |
|  | File name: ES&S ECO 1005 Approval |
| Notes | VSTL: Pro V&V; for DS200  Okidata B431D & B431DN laser printer EOL  Alternate Okidata B432DN |
|  | [clip] |

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| 2018-12-14 | ES&S Letter ECO 983, 996, 1004, 1005 |
|  | File name: ES&S Request for Approval\_ECOs |
| Notes | VSTL Pro V&V  De Minimis  “ES&S requests review of this letter and supporting documentation by State of Utah” |
|  | [clip] |

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| 2019-02-01 | DS200 Collapsible Ballot Box; ECO 1000 |
|  | File name: ECO 996 Approval |
| Notes | VSTL: Pro V&V  Change material from corrugated plastic to injected molded ABS to increase rigidity |
|  | [clip] |

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| 2019-02-01 | ExpressVote Voting Booth; ECO 1016 |
|  | File name: ECO 1016 Approval |
| Notes | VSTL: Pro V&V  Reinforcement rib added to outside door; inside door support ribs added |
|  | [clip] |

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| 2019-02-08 | ECO 1018 Alternate UPS-APC Smart-UPS 1500 for DS450 Scanner/Tabulator |
|  | File name: ECO1018\_VSTL Approval |
| Notes | VSTL: SLI Compliance  EAC 2005 VVSG; EAC Voting System Testing and Certification Program Manual V.2; NOC 12-01 COTS Computer Equivalency for de minimus change  APC-BackUPS Pro 1400 End-of-Life; APC Smart-UPS 150 alternate for DS450 |
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| 2019-10-30 | ECO 1043 |
|  | File Name: ECO 1043 VSTL APPROVAL |
|  | EVS 6100 ExpressVote 1.0 component; ECO 1043 |
| Notes | VSTL: Pro V&V  “assurance of supply for eUSB” |
|  | [clip] |
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|  | File name: ECO 1068 EAC APPROVAL |
| Notes | “ES&S ECO 1043 is approved”  Cc Pro V&V |
|  | [clip] |

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| 2020-05-20 | ECO 1068: Supplemental database option for additional security and performance |
|  | File Name: ECO 1043 VSTL APPROVAL |
|  | EVS 6100 ExpressVote 1.0 component; ECO 1043 |
| Notes | VSTL: Pro V&V  “internal Postgres system logging message to enhance security and performance of database” |
|  | [clip] |
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|  | File name: ECO 1068 EAC APPROVAL |
| Notes | “ES&S ECO 1068 is approved” |
|  | [clip] |

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| 2020-12-22 | ECO 1103 |
|  | File Name: ECO 1103 VSTL APPROVAL |
|  | ExpressVote V2.1 ECO 1103 |
| Notes | “Update V2.1 CMOS battery to extend time between maintenance events”  01-290-00096—ASSY, Battery, CMOS, ExpressVote, Extended Life, replaces 01-290-00055 for ExpressVote 2.1 |
|  | [clip] |
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|  | File name: ECO 1103 EAC APPROVAL |
| Notes | VSTL: Pro V&V  “ES&S ECO 1103 is approved” |
|  | [clip] |